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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/815,606	03/31/2004	Wang Yueh	42P18695	9672
8791	7590 04/05/2006		EXAMINER	
BLAKELY SOKOLOFF TAYLOR & ZAFMAN			GILLIAM, BARBARA LEE	
12400 WILS SEVENTH F	HIRE BOULEVARD		ART UNIT	PAPER NUMBER
	LES, CA 90025-1030		1752	
			DATE MAILED: 04/05/200	,

Please find below and/or attached an Office communication concerning this application or proceeding.

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		Application No.	Applicant(s)					
Office Assistant Communication		10/815,606	YUEH ET AL.					
	Office Action Summary	Examiner	Art Unit					
		Barbara L. Gilliam	1752	<u> </u>				
Period fo	The MAILING DATE of this communication app or Reply	ears on the cover sheet with the c	orrespondence addres	SS				
WHIC - Exter after - If NC - Failu Any	ORTENED STATUTORY PERIOD FOR REPLY CHEVER IS LONGER, FROM THE MAILING DATE on time may be available under the provisions of 37 CFR 1.13 SIX (6) MONTHS from the mailing date of this communication. Period for reply is specified above, the maximum statutory period ver to reply within the set or extended period for reply will, by statute, reply received by the Office later than three months after the mailing and patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUNICATION 36(a). In no event, however, may a reply be tim vill apply and will expire SIX (6) MONTHS from a cause the application to become ABANDONE	N. nely filed the mailing date of this commu D (35 U.S.C. § 133).					
Status								
1)[\]	Responsive to communication(s) filed on 18 Ja	nnuarv 2006.						
· <u> </u>	☐ This action is <b>FINAL</b> . 2b)☐ This aஞion is non-final.							
3)								
	closed in accordance with the practice under E	x parte Quayle, 1935 C.D. 11, 45	i3 O.G. 213.					
Dispositi	on of Claims							
4)⊠	Claim(s) 1-20 and 31 is/are pending in the app	lication.						
	4a) Of the above claim(s) is/are withdrawn from consideration.							
5)⊠ Claim(s) <u>16-20 and 31</u> is/are allowed.								
6)⊠	6)⊠ Claim(s) <u>1-6 and 11-15</u> is/are rejected.							
-	Claim(s) <u>7-10</u> is/are objected to.							
8)[	Claim(s) are subject to restriction and/or	election requirement.						
Applicati	on Papers							
9)[	The specification is objected to by the Examine	r.						
10) The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner.								
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).								
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).								
11)	The oath or declaration is objected to by the Ex	aminer. Note the attached Office	Action or form PTO-1	52.				
Priority u	nder 35 U.S.C. § 119	·						
_	Acknowledgment is made of a claim for foreign All b) Some * c) None of:	priority under 35 U.S.C. § 119(a)	-(d) or (f).					
	1. Certified copies of the priority documents							
	2. Certified copies of the priority documents	• •						
	3. Copies of the certified copies of the prior		d in this National Stag	ge				
* 0	application from the International Bureau (PCT Rule 17.2(a)).  * See the attached detailed Office action for a list of the certified copies not received.							
~ 5	ee the attached detailed Office action for a list (	of the certified copies not receive	d.					
Attachment	• •	_						
	e of References Cited (PTO-892) e of Draftsperson's Patent Drawing Review (PTO-948)	4) Interview Summary Paper No(s)/Mail Da						
3) 🛛 Inforn	nation Disclosure Statement(s) (PTO-1449 or PTO/SB/08)		ite atent Application (PTO-152	·)				
Paper	No(s)/Mail Date <u>7/18/2005</u> .	6) Other:						

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#### **DETAILED ACTION**

#### Response to Amendment

- 1. The amendment filed January 18, 2006 has been received and fully considered.
- 2. Claims 1-20 and 31 are present. Claims 21-30 were canceled.

#### Election/Restrictions

3. Claims 1-15 were withdrawn from further consideration pursuant to 37 CFR 1.142(b) as being drawn to nonelected inventions, however in light of the amendment to claim 1, claims 1-15 are rejoined with elected claims 16-20 and 31.

## Claim Rejections - 35 USC § 102

4. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- 5. Claims 1-6, 11-15 are rejected under 35 U.S.C. 102(b) as being anticipated by Fujimori et al., US 2002/0155383 A1.
- a. The positive resist composition of Fujimori et al. comprises a resin having an aliphatic cyclic hydrocarbon group and increasing solubility to an alkali developer by the action of an acid, a compound generating an acid upon irradiation with an actinic ray or radiation and a specific nitrogen-containing compound (abstract). The resin comprises a repeating unit having the lactone structure, represented by formula (IV):

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$$\begin{array}{c} & & & & \\ & & & \\ & & & \\ & & & \\ & & & \\$$

wherein W1 can be a carbonyl group ([0029]-[0030]; [0104]-[0106]). The resin can also comprise repeating groups of formula AI:

wherein A' can be a carbonyl group and  $B_2$  represents a group shown by one of formulae (V-1) to (V-4):

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$$\begin{array}{c} R_{1b} \\ R_{2b} \\ R_{5b} \end{array}$$

$$R_{1b}$$
 $R_{2b}$ 
 $R_{5b}$ 
 $R_{5b}$ 

$$R_{1b}$$
 $R_{39}$ 
 $R_{45}$ 
 $R_{5b}$ 

$$R_{1b}$$
 $R_{2b}$ 
 $R_{5b}$ 
 $R_{5b}$ 

([0117]-[0130]). The resin can further contain the repeating unit of formula (VIII)

Specific examples of the repeating unit of formula (VIII) include:

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([0152]-[0158]).

## Response to Arguments

Applicant's arguments filed January 18, 2006 have been fully considered but they 6. are not persuasive.

Applicant's arguments, see pages 6-10, filed January 18, 2006, with respect to the rejections of claims 16-and 31 under 35 USC 102(b) and 35 USC 103(a) over Okino et al. (US 6,303,266 B1) have been fully considered and are persuasive. Therefore, the rejection has been withdrawn. See also Okino et al. col. 13, lines 50-55. However, upon further consideration, a new ground(s) of rejection is made in view of Fujimori et al., US 2002/0155383 A1.

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#### Allowable Subject Matter

7. Claims 7-10 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

- 8. Claims 16-20 and 31 are allowed.
- 9. The following is a statement of reasons for the indication of allowable subject matter: Neither Okino et al. (6,303,26 B1) nor Fujimori et al. (US 2002/0155383 A1) teach the required polymer.

#### Conclusion

- 10. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.
- a. In US 2003/0077540 A1, Kodama et al. teach a positive photosensitive composition.
- 11. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Barbara L. Gilliam whose telephone number is 571-272-1330. The examiner can normally be reached on Monday through Thursday, 8:00 AM 5:30 PM.
- a. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Cynthia H. Kelly can be reached on 571-272-1526. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Business Center (EBC) at 866-217-9197 (toll-free).

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b. Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic

Soubara L. Gilliam

Barbara L. Gilliam Primary Examiner Art Unit 1752

bg March 23, 2006